

08-17-01

A

08/16/01
10849 U.S. PTO

Please type a plus sign (+) inside this box

+

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01985- P0040C
First Inventor Gary S. Foster, et al.
Title Creation Of Pseudo Block To Assist In System
Express Mail Label No. EL574212054US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 29]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims(s)
 - Abstract of the Disclosure
4. ☒ Drawings(s) (35 USC 113) [Total Sheets 5]
5. ☒ Oath or Declaration [Total Pages 4]
- a. ☒ New executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent.
17. ☐ Other.....

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part of prior application No.: 09/504,803

Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label

24126

(Insert Customer No. or Attach bar code label here)

☒ Correspondence address below

Name Todd M. Oberdick
Address St. Onge Steward Johnston & Reens LLC
986 Bedford Street
City Stamford State CT Zip Code 06905-5619
Country United States Telephone 203 324-6155 Fax 203 327-1096

Name (Print/Type) Todd M. Oberdick Registration No. (Attorney/Agent) 44,268
Signature [Signature] Date 8/16/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Times will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

The PTO will not receive the following (separate patent listed item(s))

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision

Complete if Known

| | |
|----------------------|------------------------|
| Application No. | - Pending |
| Filing Date | August 16, 2001 |
| First Named Inventor | Gary S. Foster, et al. |
| Examiner Name | |
| Group Art Unit | |

| | |
|--------------------------------|-------------------|
| TOTAL AMOUNT OF PAYMENT | (\$567.00) |
|--------------------------------|-------------------|

| | |
|------------------------|----------------------|
| Attorney Docket Number | 01985-P0040C WWW/TMO |
|------------------------|----------------------|

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

| | |
|------------------------|---------|
| Deposit Account Number | 19-4516 |
|------------------------|---------|

| | |
|----------------------|---------------------------------------|
| Deposit Account Name | St. Onge Steward Johnston & Reens LLC |
|----------------------|---------------------------------------|

- ☒ Charge Any Additional Fee Required under CFR 1.16 and 1.17
☒ Applicant claims small entity status
See 37 CFR 1.27

2. ☒ Payment Enclosed: 665.00

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|---------------------|-----------------|----------------|-----------------|------------------------|--------------------|
| 101 | 710 | 201 | 355 | Utility filing fee | 355.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) 355.00 |

2. EXTRA CLAIMS FEES

| | | Extra Claims | Fee from Below | | Fee Paid | | |
|-----------------------|----|-----------------|-------------------|---|----------|---|-------|
| Total Claims | 28 | -20**= | 8 | X | 9 | = | 72.00 |
| Independent Claims | 4 | -3**= | 1 | X | 40 | = | 40.00 |
| Multiple Dependent | | | | X | | = | |

Multiple Dependent

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|------------------------------------------------------------|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claims, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

** or number previously paid, if greater; For Reissues, see above

| | |
|---------------------|--------------------|
| SUBTOTAL (2) | (\$) 172.00 |
|---------------------|--------------------|

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|----------------------------------------------------------------------------|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing for or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840 | Requesting publication of SIR after Examiner Action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 240 | 126 | 240 | Submission of Informational Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per Property (times number of properties) | 40.00 |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37(CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee paid

| | |
|---------------------|-------------------|
| SUBTOTAL (3) | (\$) 40.00 |
|---------------------|-------------------|

| | |
|---------------------|---------------------------------------|
| SUBMITTED BY | St. Onge Steward Johnston & Reens LLC |
|---------------------|---------------------------------------|

Complete (if applicable)

| | | | | | |
|-------------------|------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print Type) | Todd M. Oberdick | Registration No. (Attorney/Agent) | 44,268 | Telephone | 203 324-6155 |
|-------------------|------------------|-----------------------------------|--------|-----------|--------------|

| | | | |
|-----------|------------------|------|-----------|
| Signature | Todd M. Oberdick | Date | 8/16/2001 |
|-----------|------------------|------|-----------|

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

an Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount e YOU are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

PATENT
01985-P0040C WWW/TMO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|-----------------------|-----------------------------------------------------------------------------------------------------------|
| Applicants | Gary S. Foster, et al. |
| Serial No. - Pending | August 16, 2001 |
| Title of Application: | Creation Of Pseudo Block To Assist In System For Facilitating Trade Processing And Trade Management |


Assistant Commissioner for Patents
Washington, DC 20231

Cover Sheet For Five Sheets Of Drawings

Attorneys for Applicants
Wesley W. Whitmyer, Jr., Registration No. 33,558
Todd M. Oberdick, Registration No. 44,268
ST.ONGE STEWARD JOHNSTON & REENS LLC
986 Bedford Street
Stamford, CT 06905-5619
203 324-6155

Express Mail Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *Express Mail Post Office to Addressee* Mailing Label Number EL57412054US in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents; Washington, DC 20231.

August 16, 2001


Linda A. Ferranti